

0 Not Started 2 In Progress 2 Completed 16 Passed 0 Failed 0 Pending Review 2 Past Due

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Course Name	Enrolled	Started	Completed	Score	Status
AML & CTF Training v.5	06/10/2019	06/10/2019	06/10/2019	80%	Passed
AML CTF Sanctions Training UK FCA Firms v.1	22/04/2020	22/04/2020	22/04/2020	75%	Passed
Anti-Corruption Training (Ireland) v.1	15/10/2019	16/10/2019	16/10/2019	80%	Passed
Anti-Corruption Training (Ireland) v.1	16/10/2019	16/10/2019	16/10/2019	80%	Passed
Central Bank of Ireland's Fitness and Probity Regime v.2	12/10/2019	12/10/2019	12/10/2019	100%	Passed
Conflicts of Interest: MiFID Firms v.1	15/08/2019	27/08/2019	09/10/2019	88%	Passed
Conflicts of Interest: MiFID Firms v.4	16/04/2020	16/04/2020	-	-	In Progress
Corporate Governance Requirements for Investment Firms and Market Operators v.1	15/08/2019	21/08/2019	15/09/2019	82%	Passed
Corporate Governance Requirements for Investment Firms and Market Operators v.2	12/10/2019	12/10/2019	12/10/2019	100%	Passed
Director Training - Corporate Governance and Director Duties under the Companies Act 2014 v.3	12/10/2019	12/10/2019	12/10/2019	88%	Passed
Director Training: AML & CTF v.1	15/08/2019	21/08/2019	21/08/2019	100%	Passed
Director Training: AML & CTF v.3	12/09/2019	15/09/2019	15/09/2019	80%	Passed

Failure to Prevent Facilitation of Tax Evasion Training v.1	12/10/2019	12/10/2019	12/10/2019	100%	Passed
FSLearn Survey v.1	15/09/2019	15/09/2019	15/09/2019	-	Completed
Market Abuse Regime: Non listed entities v.1	15/08/2019	27/08/2019	12/10/2019	88%	Passed
Market Abuse Regime: Non listed entities v.4	28/04/2020	28/04/2020	-	-	In Progress
Outsourcing Requirements for MIFID Firms v.1	15/08/2019	27/08/2019	09/10/2019	100%	Passed
Outsourcing Requirements for MIFID Firms v.2	15/04/2020	16/04/2020	16/04/2020	88%	Passed
Senior Executive Accountability Regime v.1	21/10/2019	21/10/2019	21/10/2019	-	Completed
UK Anti-Bribery and Corruption Training v.1	12/10/2019	12/10/2019	12/10/2019	100%	Passed